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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(211.004-US)

In re Application of: **FERRANT ET AL.**

U.S. Application Serial No: **10/840,009**

U.S. Filing Date: **MAY 6, 2004**

Title: **SEMICONDUCTOR MEMORY DEVICE AND  
METHOD OF OPERATING SAME**

) Group Art Unit: **2824**  
)  
) Examiner: **DINH, SON T.**  
)  
)  
)  
)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SIXTH INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

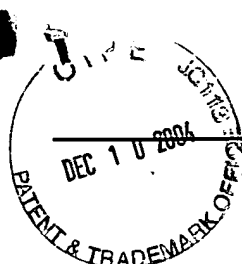
Submitted herewith is one (1) sheet of modified Form PTO-1449. Electronic copies of the U.S. patents and published U.S. patent applications identified on the attached Form PTO-1449 are found on the attached compact disc ("CD"). Should the Examiner desire hard copies of the documents cited in the attached Form PTO-1449, kindly contact the undersigned.

It is respectfully requested that the Examiner make his/her consideration of these documents formally of record with the initial Office Action.

Respectfully submitted,

Neil A. Steinberg  
Reg. No. 34,735  
650-968-8079

Date: December 7, 2004



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**FEE TRANSMITTAL**

Dear Sir:

Transmitted herewith is a **Sixth Information Disclosure Statement** (1 page + Form PTO-1449 (1 sheet) and CD containing references cited therein.

The fee has been calculated as shown below:

CLAIMS AS AMENDED						
	Claims Remaining	Highest Number Previously Paid	Extra	Rate		Amount
				Large	Small	
Number of Claims In (in excess of 20)	0	0	0	\$18.00	\$9.00	-0-
Independent Claims (in excess of 3)	0	0	0	\$84.00	\$42.00	-0-
Extension Fee: a) One Month				\$110.00	\$55.00	-0-
Filing of Information Disclosure Statement (37 C.F.R. §1.17(p)):						\$180.00
TOTAL FEE DUE:						\$180.00

**Method of Payment:**

[XX] A check payable to the Commissioner of Patents and Trademarks, in the amount of \$180.00 is enclosed as payment of the Total Fee.

[ ] Please charge my Deposit Acc. 50-0763 in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.

[XX] The Commissioner is hereby authorized to charge any fees that may be required, or credit any overpayment to Deposit Acc. 50-0763. A duplicate copy of this sheet is enclosed.

Date: December 7, 2004

Respectfully submitted,

Neil Steinberg, Reg. No. 34,735  
Telephone No. (650) 968-8079



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**Certificate of Mailing under 37 CFR 1.8**

I hereby certify that the attached: **(1)** Sixth Information Disclosure Statement (1 page + Modified PTO-1449 (1 sheet) and a CD Containing References cited therein); **(2)** Fee Transmittal (1 page + 1 copy thereof); **(3)** Check (\$180.00) are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

on December 7, 2004.

Michiko Sites  
Signature

Michiko Sites  
Print Name of Person Signing Certificate

<b>PTO-1449 (Modified)</b>  <b>U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>	<b>ATTY. DOCKET NO.</b> <b>211.004-US</b>	<b>SERIAL NUMBER</b> <b>10/840,009</b>
	<b>APPLICANT(S)</b> <b>Ferrant et al.</b>	
	<b>FILING DATE</b> <b>May 6, 2004</b>	<b>GROUP ART UNIT</b> <b>2824</b>

## U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	2004/0052142	3/2004	Ikehashi et al.			
	2004/0021137	2/2004	Fazan et al.			
	2004/0240306	12/2004	Fazan et al.			
	2004/0238890	12/2004	Fazan et al.			
	6,778,424	8/2004	Iwata et al.			
	6,781,875	8/2004	Ohsawa			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO	

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
<b>EXAMINER: Initial citation if reference was considered. Draw line through citation if not in conformance to MPEP 609 and not considered. Include copy of this form with next communication to applicant.</b>	